

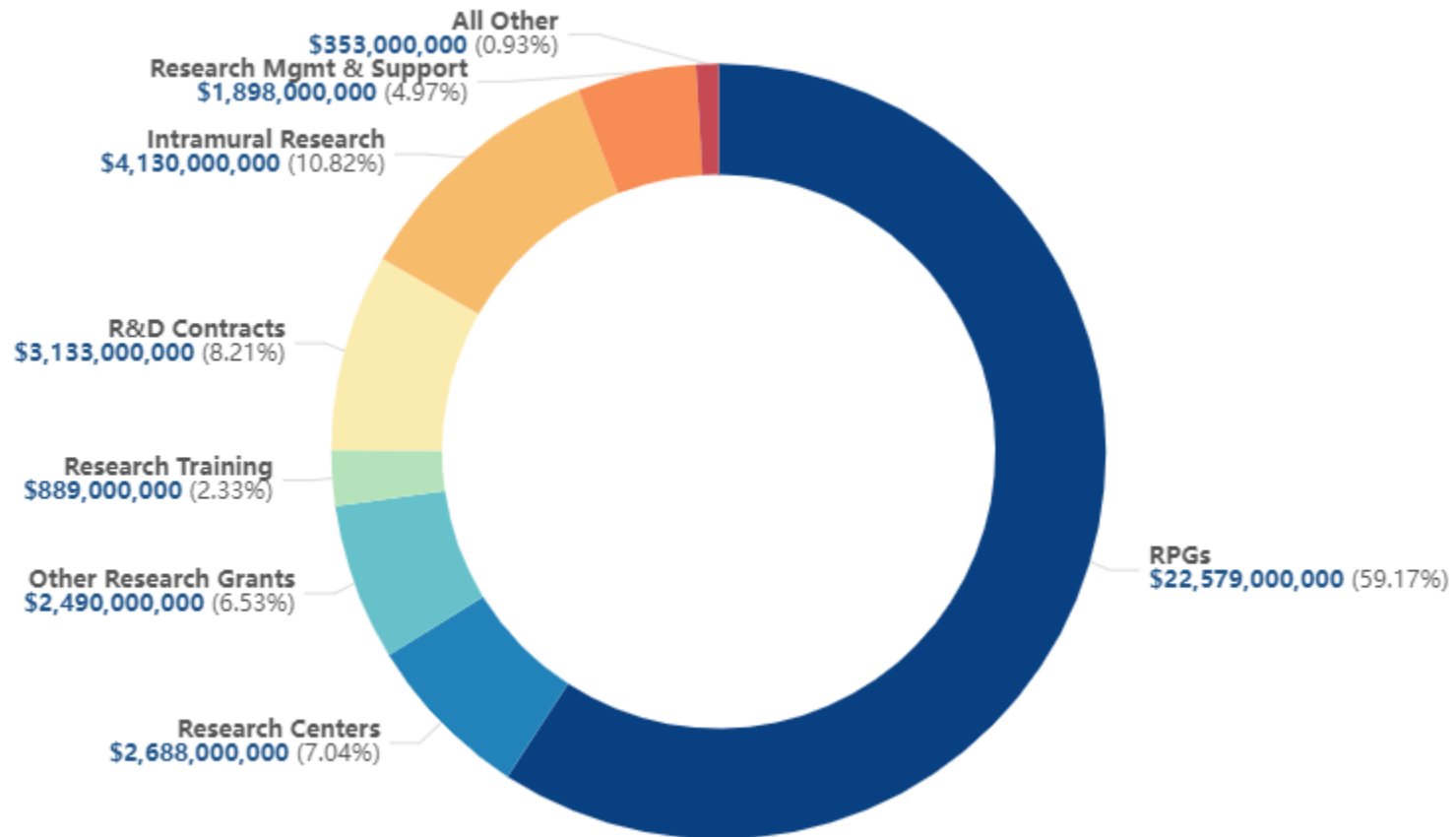
The NIH R01 Grant: A Foundation for Your Research Career

James Burroughs
Program Coordinator, CAIRHE



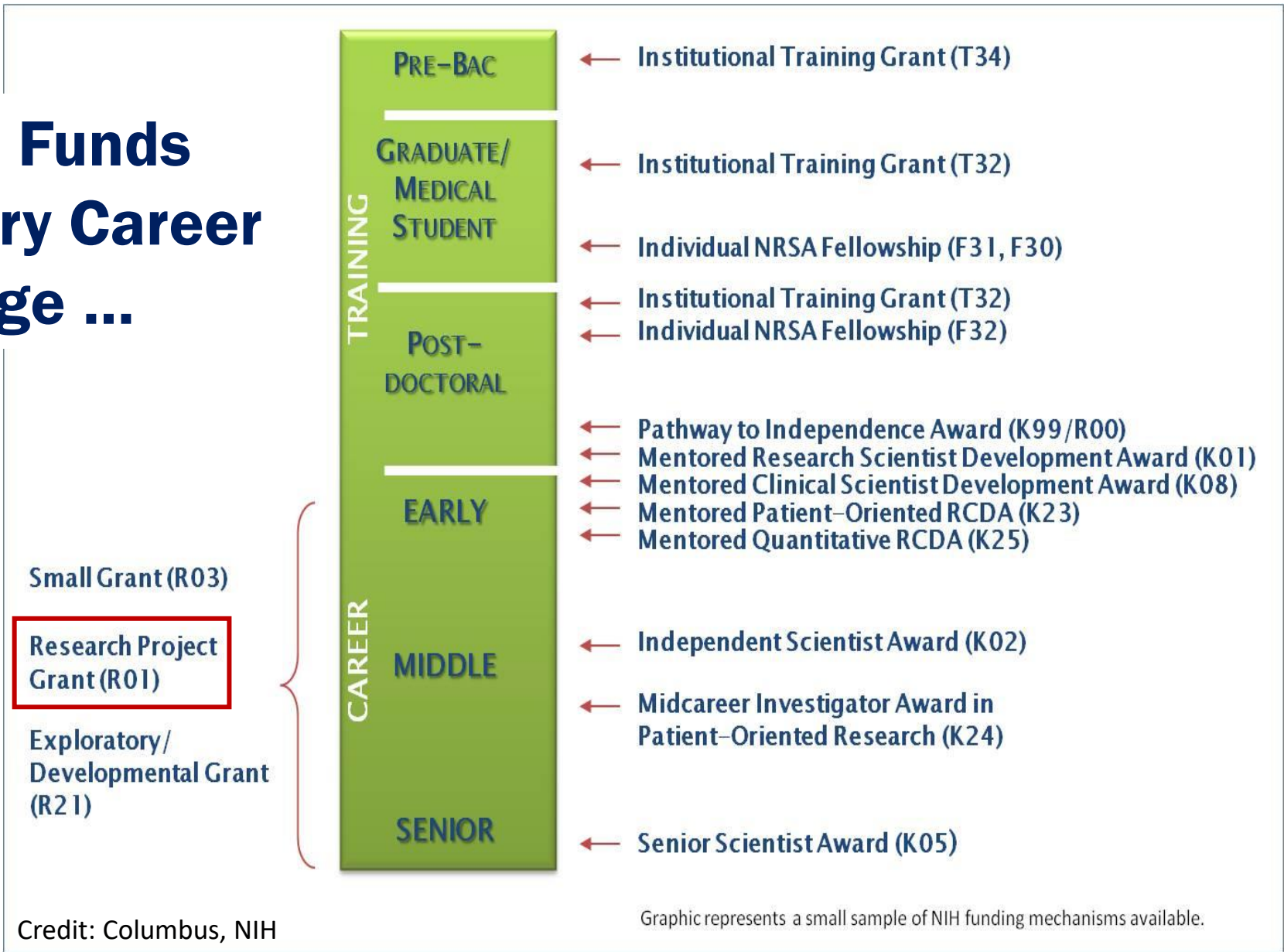
Center for American Indian
and Rural Health Equity

Why NIH?



Credit: NIH Data Book, FY19

NIH Funds Every Career Stage ...



R01 Grants: The “Gold Standard”

Purpose of the Award

Provides funding to support research on a discrete, specified, circumscribed project to be performed by the named investigator(s) in an area representing the investigator's specific interest and competencies, based on the mission of the NIH.*

Details

- NIH's most-used grant program, and the largest and oldest
- No specific dollar limit unless specified in FOA
- Advance permission required for \$500,000 or more (direct costs) in any year
- Generally awarded for 3 to 5 years; can be competitively renewed
- Preliminary data required/expected
- Can be investigator-initiated (most) or can be solicited via a Request for Applications
- Investigator-initiated proposals use standard due dates (Feb. 5, June 5, Oct. 5)

* To seek **fundamental knowledge** about the nature and behavior of living systems and the **application of that knowledge** to enhance health, lengthen life, and reduce illness and disability.

What Can an R01 Grant Support?

- Salary and benefits (e.g., summer salary, course buyouts) for PI, key personnel, and other essential personnel
- Equipment and supplies
- Consultant costs
- Alterations and renovations
- Publications and miscellaneous costs
- Contracted services
- Consortium costs
- Travel expenses
- Facilities & Administrative costs (indirect costs)

Most importantly, it can help you build your TEAM!

RO1 vs. **R21**

By Comparison: R21 Grants

Purpose of the Award

Intended to encourage **exploratory/developmental research** by providing support for the early and conceptual stages of project development.

Details

- “Need not have preliminary data, extensive background material or preliminary information; however, they may be included if available.”
(This is, in practice, false.)
- Project period of up to 2 years; not renewable
- Combined budget for direct costs for the 2-year project period may not exceed \$275,000 (no more than \$200,000 in any single year)
- Novel, high-risk/high-reward proposals
- Investigator-initiated proposals use standard due dates (Feb. 5, June 5, Oct. 5)
- R21 ≠ “R01 Lite”

R01 vs. R21

Usually 3 to 5 years

Up to \$500,000 per year,
or more

Pilot data needed

Special consideration for
Early-Stage Investigators (ESI)

Offered by most (if not all) ICs

~20% overall success rate

Path to *next* R01 (*)

2 years maximum

Up to \$275,000 total
maximum

Pilot data needed (for success)

NO special consideration for
Early-Stage Investigators (ESI)

Offered by 1/2 to 2/3 ICs

~17% overall success rate

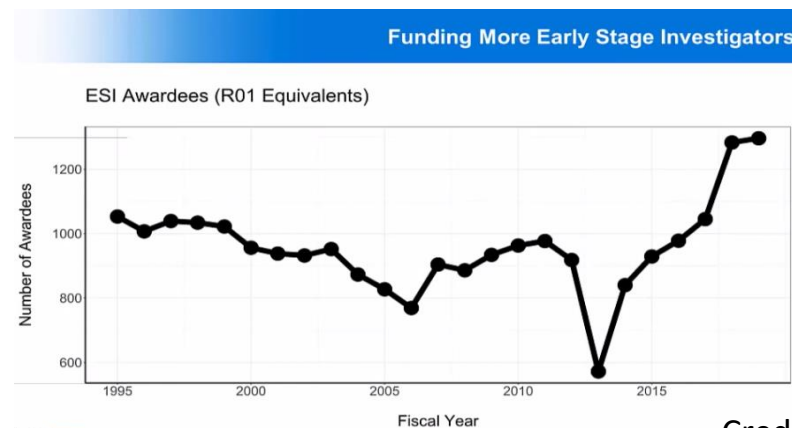
Not a proven path to R01

“Smaller and shorter does not equal easier.”

Early-Stage Investigator (ESI) or New Investigator (NI)

Early-Stage Investigator (ESI): A PI who has completed their terminal research degree or end of post-graduate clinical training (whichever date is later) within the **past 10 years** and who has not previously competed successfully as PI for a substantial NIH independent research award (i.e., an R01).

- Designation matters for R01 applications
- ESI/NI applications clustered and reviewed together
- Institutes consider ESI status for funding decisions. All ICs are different. (*)
- Consider carefully if you are part of a multi-PI application!



Credit: NIH Office of Extramural Research

“OK, I’m sold. I want an R01. How do I get it?”

- **Start planning early**
- Apply for the right opportunity
- Contact appropriate program staff early
- Present ideas clearly and directly

Preliminary Health Sciences Funding for MSU Faculty: *Look No Further*



Center for American Indian
and Rural Health Equity

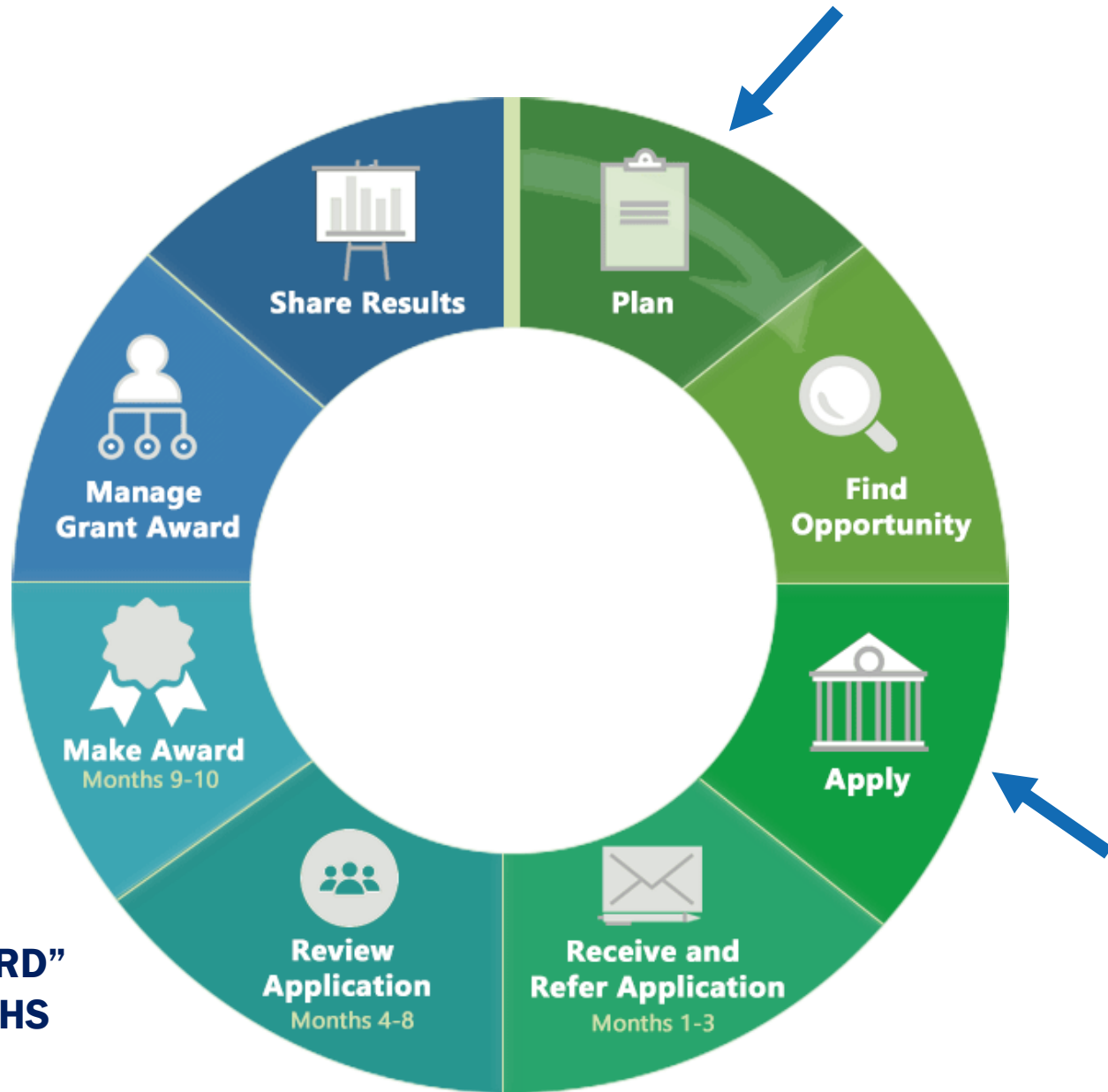


- NIH funding allocated, awarded, and administered locally by MSU colleagues.
- Designed to develop the research careers of early-stage investigators in Montana.
- Funding comparable to that of R03 or R21 grants, and MUCH EASIER to get!
- Comes with hands-on assistance and coaching to get you to an R01 level.

Also: **MW CTR-IN** ctrin.unlv.edu

The Grant Life Cycle

FROM “PLAN” TO “APPLY”
COULD TAKE 8+ MONTHS



FROM “APPLY” TO “AWARD”
USUALLY TAKES 9 MONTHS

Credit: Hamlet, NIH

You Aren't Alone: R01-Level Awardees at MSU, **Past 10 Years** (*partial list: see reporter.nih.gov*)

Adams

Carter

Kominsky

Swain

Nygaard

Kinion

Voyich

Cloninger

Copie

Dickensheets

Stewart

McDermott

Franklin

Skewes

Walk

Held

Harmsen

Livinghouse

Lefcort

Kohout

Brooker

DuBois

Broderick

June

Lei

Rink

Pincus

Schmidt

Stowers

John-Henderson

Wiedenheft

and more ...



- Start planning early
- **Apply for the right opportunity**
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Types of Funding Opportunity Announcements (FOAs)

Type of FOA	Description
Program Announcements (PA, PAR, PAS)	<ul style="list-style-type: none"> • Highlights areas of focus • Usually ongoing (3+ yrs.) • Often use standard receipt dates
Requests for Applications (RFA)	<ul style="list-style-type: none"> • Narrowly defined scope • Usually single receipt date • Set-aside funds • IC usually convenes review panel
Parent Announcements	<ul style="list-style-type: none"> • Type of program announcement • Generally span the breadth of NIH mission • By activity code (R01, R03, etc.) • For “<u>investigator-initiated</u>” or unsolicited research ideas (~80% of all R01s)

Part 1. Overview Information

Participating Organization(s)	National Institutes of Health (NIH)
Components of Participating Organizations	<p>NATIONAL INSTITUTES OF HEALTH (NIH) National Eye Institute (NEI) National Heart, Lung, and Blood Institute (NHLBI) National Human Genome Research Institute (NHGRI) National Institute on Aging (NIA) National Institute on Alcohol Abuse and Alcoholism (NIAAA) National Institute of Allergy and Infectious Diseases (NIAID) <i>Eunice Kennedy Shriver National Institute of Child Health and Human Development (NICHD)</i> National Institute of Arthritis and Musculoskeletal and Skin Diseases (NIAMS) National Institute of Biomedical Imaging and Bioengineering (NIBIB) National Institute of Diabetes and Digestive and Kidney Diseases (NIDDK) National Institute on Drug Abuse (NIDA) National Institute of Environmental Health Sciences (NIEHS) National Institute of General Medical Sciences (NIGMS) National Institute of Mental Health (NIMH) National Institute of Neurological Disorders and Stroke (NINDS) National Institute of Nursing Research (NINR) National Institute on Minority Health and Health Disparities (NIMHD) National Center for Complementary and Integrative Health (NCCIH)</p> <p><i>The following Institutes/Centers only accept mechanistic studies that meet NIH's definition of a clinical trial. See Related Notices section below.</i></p> <p>National Heart, Lung, and Blood Institute (NHLBI) National Institute of Arthritis and Musculoskeletal and Skin Diseases (NIAMS) National Institute of Neurological Disorders and Stroke (NINDS) National Center for Complementary and Integrative Health (NCCIH) National Institute of Mental Health (NIMH)</p> <p>Note: Not all NIH Institutes and Centers (ICs) participate in Parent Announcements. Applicants should carefully note which ICs participate in this announcement and view their respective areas of research interest at the R01 Clinical Trial Required IC-Specific Scientific Interests and Contact website. Applicants should also carefully note which ICs accept only mechanistic trials. ICs that do not participate in this announcement will not consider applications for funding.</p>
Funding Opportunity Title	Research Project Grant (Parent R01 Clinical Trial Required)
Activity Code	R01 Research Project Grant

PA-20-183

PA-20-184

Research Project Grant (Parent R01 Basic Experimental Studies with Humans Required)

PA-20-185

NIH Research Project Grant (Parent R01 Clinical Trial Not Allowed)

Examples of Non-Parent R01 Funding Opportunities

PAR-20-238

 (“R” means special receipt, referral, and/or review considerations)

Intervention Research to Improve Native American Health (R01 Clinical Trial Optional)

RFA-EB-21-001

 (National Institute of Biomedical Imaging and Bioengineering)

Technology Development to Reduce Health Disparities (R01 Clinical Trial Optional)

Clinical Trials Policy

A research study in which one or more **human subjects** are **prospectively assigned** to one or more **interventions** (which may include placebo or other control) to evaluate the effects of those interventions on **health-related biomedical or behavioral outcomes**.

R01 grants have 3 options (*apply to the correct FOA*):

- Clinical trials allowed/required
- Clinical trials not allowed
- Basic Experimental Studies with Humans (BESH)

More Info: <https://grants.nih.gov/policy/clinical-trials.htm>

Finding the Right NIH Funding Opportunity

New/Active FOAs publicized through:

- Grants.gov
- Weekly emails from individual ICs, or MSU ORD
- NIH Guide for Grants and Contracts (Go to grants.nih.gov >> “Funding”)



Active Funding Opportunities and Notices Search Terms Search Advanced Search

✖ Clear All Filters
✖ Reset Release Date Filter

Displaying: 1 to 25 of 45 results Results Per Page 25

Export to Share Search Save your Search

Title	FOA/Notice Number	Issuing Organization	Release Date	Expiration Date	Activity Code
Urgent Award: COVID-19 Mental Health Research (R01 Clinical Trial Required)	PAR-22-112	NIMH	Jan 31, 2022	Dec 23, 2022	R01
Urgent Award: COVID-19 Mental Health Research (R01 Clinical Trial Optional)	PAR-22-113	NIMH	Jan 31, 2022	Dec 23, 2022	R01
Integrative Research in Gynecologic Health (R01 Clinical Trial Optional)	RFA-HD-23-006	NICHD	Jan 31, 2022	Apr 18, 2022	R01
Notice of Special Interest (NOSI): Research on barriers to care and risk of HIV-associated comorbidities among vulnerable population groups	NOT-HL-22-010	NHLBI	Jan 31, 2022	May 7, 2025	R01

A More Targeted Approach: MATCHMAKER

<https://reporter.nih.gov/matchmaker>

Quick Search

Search RePORTER

Search

Enter just about anything in the RePORTER Quick Search box above (text, PI names, project numbers, fiscal year, agency) or launch the Advanced Search to precisely configure searches using separate search fields.

Advanced Search

Welcome to the NIH RePORTER

Each award supported by NIH promotes efforts to seek fundamental knowledge about the nature and behavior of living systems and/or the application of that knowledge to enhance health, lengthen life, and reduce illness and disability.

Guided Tour

Feedback

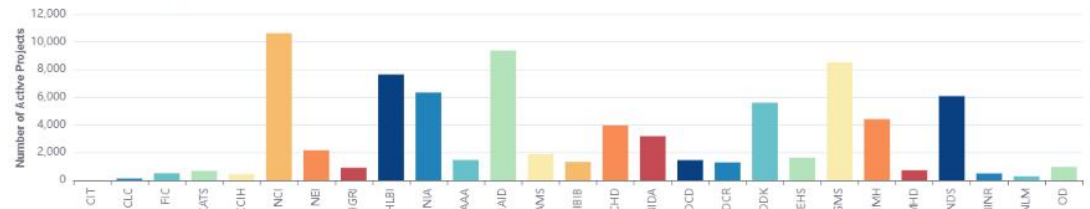
Active Funding by State

Select a state to view projects



Active Projects by Institute/Center

Select a bar to view projects for an Institute/Center



Matchmaker

Enter abstracts or other scientific text to find potential Program Officials, ICs, and review panels for your research. ?

15,000 characters left

Similar Projects

Similar Program Officials

Reset

Search

Advanced Projects Search

Search using specific criteria to find NIH projects and funding information.

Get Started >

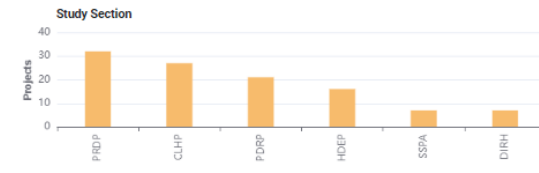
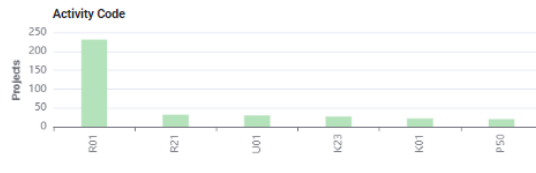
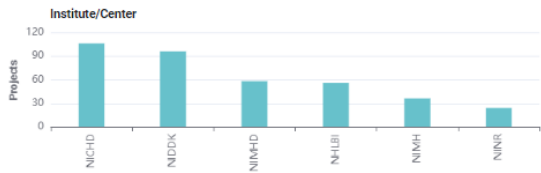
Publications Search

Find publications associated with extramural or intramural funded projects using PubMed IDs (PMID)

American Indians (AI) disproportionately suffer from high rates of cardiovascular disease, type 2 diabetes, and rising cancer rates.1-4 These large health inequities are in part due to rapidly increasing rates of obesity, secondary to unhealthy lifestyles.5 Women Infant and Children (WIC) data show AI preschool obesity rates are, the highest nationally (21%) and AIs are the only ethnic group showing persistent increase.6 We contend that, effective obesity and chronic disease prevention can only be accomplished in AI communities when families, are empowered and

Filters

- Active Projects
- Fiscal Years
- Agencies
- Activity Codes
- Program Officials
- Study Sections



T	Act Project	Year Sub	Principal Investigator(s)/ Project Leader(s)	Organization	Fiscal Year	Admin IC	Funding IC	FY Total Cost by IC	Similar Projects	Match	
	New Ulm at HOME - (NU-HOME)										
5	R01HL123699-05		FULKERSON, JAYNE ALLYN	UNIVERSITY OF MINNESOTA			2020	NHLBI NHLBI	\$620,402	View	731
	Family-Based, Culturally-Centered Diabetes Intervention with Ojibwe Communities										
5	R01DK091250-07		WALLS, MELISSA L.	JOHNS HOPKINS UNIVERSITY			2020	NIDDK NIDDK	\$603,023	View	724
	A Community-based, family investigator intervention to improve cardiometabolic health of Medicaid-insured youth identified through an antipsychotic medication preauthorization program										
5	R01MH110945-04		REEVE, LORIAN M.	UNIVERSITY OF MARYLAND BALTIMORE							718
	"Preventing Early Childhood Obesity in American Indian Populations"										
5	R01HD087407-05		BARLOW, MARY ALLISON	JOHNS HOPKINS UNIVERSITY							680
	Obesity Prevention in Head Start: The Miranos! Program										
5	R01DK109323-05		YIN, ZENONG PARRA-MEDINA, DEBORAH	UNIVERSITY OF TEXAS SAN ANTONIO							657

New Ulm at HOME - (NU-HOME)

Project Number: 5R01HL123699-05 | Contact PI/Project Leader: FULKERSON, JAYNE ALLYN | Awardee Organization: UNIVERSITY OF MINNESOTA

Details

Contact PI/ Project Leader

Name: FULKERSON, JAYNE ALLYN
Title: PROFESSOR
Contact: [View Email]

Other PIs

Not Applicable

Program Official

Name: PRATT, CHARLOTTE A
Contact: [View Email]

Organization

Name: UNIVERSITY OF MINNESOTA | Department Type: NONE | State Code: MN
City: MINNEAPOLIS | Organization Type: SCHOOLS OF NURSING | Congressional District: 05
Country: UNITED STATES (US)

Other Information

FOA: PA-14-033 | Administering Institutes or Centers: NATIONAL HEART, LUNG, AND BLOOD INSTITUTE
Study Section: Psychosocial Risk and Disease Prevention Study Section[PRDP] | SEDA Code: 837
Fiscal Year: 2020 | Award Notice Date: 06-March-2020 | DUNS Number: 555917996 | UEI: KABJZBBJ4B54
Project Start Date: 01-March-2016 | Project End Date: 31-August-2022
Budget Start Date: 01-March-2020 | Budget End Date: 31-August-2022

Matchmaker Search Result Example

Not All ICs Are Equal Where R01s Are Concerned

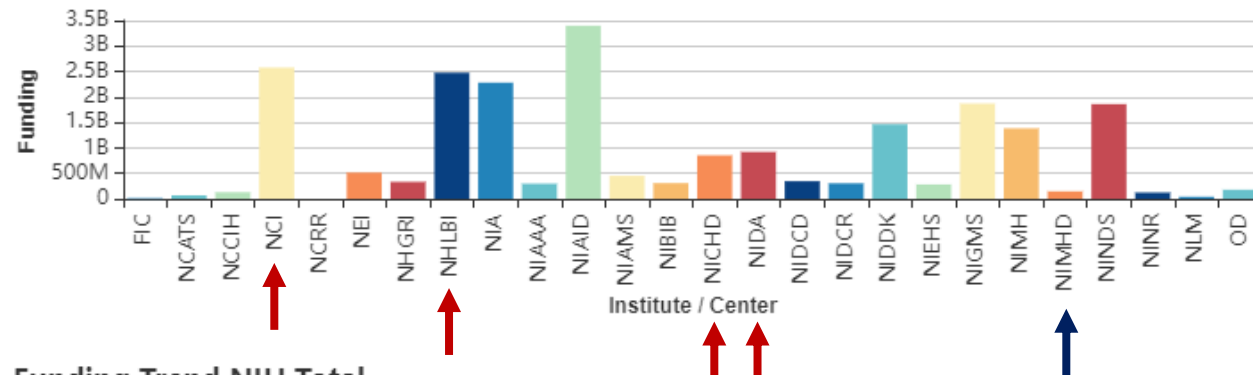
Research Project Grants: Funding, by Institute / Center

NIH Data Book Report ID: 209 [Share](#)

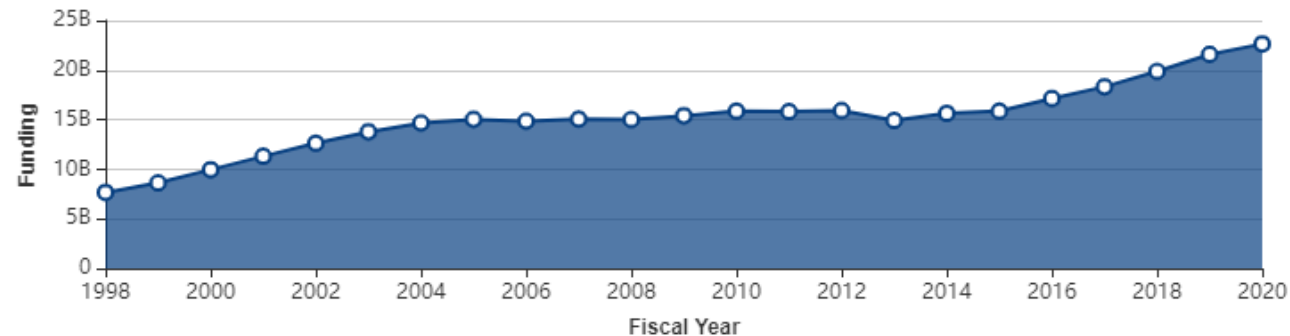
[Data](#) [Export](#)

Click IC bars to filter trend data below. Hover over trend chart to set year for IC breakdown chart above.

Funding for 2020



Funding Trend NIH Total



- Start planning early
- Apply for the right opportunity
- **Contact appropriate program staff early**
- Present ideas clearly and directly

Important NIH People

Program Official (or Officer): NIH staff scientist responsible for developing research initiatives and for the programmatic, scientific, and/or technical aspects of assigned applications and grants. (Contact before application, after Summary Statement, during study.)

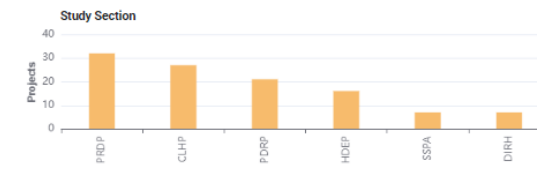
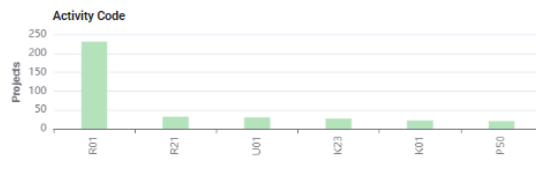
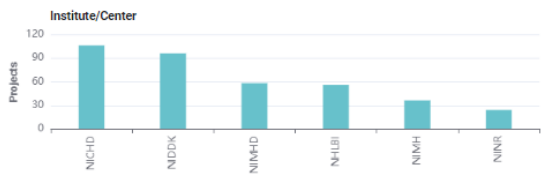
Scientific Review Officer: NIH staff scientist and the federal official responsible for ensuring that applications receive an objective and fair initial peer review. Convenes the SRG. (Contact before or after application, before review.)

Scientific Review Group (SRG, aka “Study Section”): Primarily non-federal scientists (i.e., your peers) who conduct the first level of review for grants in a particular subject area. They have expertise in relevant scientific disciplines and current research areas. (NEVER contact.)

American Indians (AI) disproportionately suffer from high rates of cardiovascular disease, type 2 diabetes, and rising cancer rates. 1-4 These large health inequities are in part due to rapidly increasing rates of obesity, secondary to unhealthy lifestyles. 5 Women Infant and Children (WIC) data show AI preschool obesity rates are, the highest nationally (21%) and AIs are the only ethnic group showing persistent increase. 6 We contend that, effective obesity and chronic disease prevention can only be accomplished in AI communities when families, are empowered and

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	5	R01MH110945-04	REEVE, LORIAN M.	UNIVERSITY OF MARYLAND BAL							718
	5	R01HD087407-05	BARLOW, MARY ALLISON	JOHNS HOPKINS UNIVERSITY							680
	5	R01DK109323-05	YIN, ZENONG	UNIVERSITY OF TEXAS SAN AN							657

New Ulm at HOME - (NU-HOME)

Project Number: 5R01HL123699-05 | Contact PI/Project Leader: FULKERSON, JAYNE ALLYN | Awardee Organization: UNIVERSITY OF MINNESOTA

Details

Contact PI/ Project Leader Name: FULKERSON, JAYNE ALLYN Title: PROFESSOR Contact: [View Email]	Other PIs Not Applicable	Program Official Name: PRATT, CHARLOTTE A Contact: [View Email]
Organization Name: UNIVERSITY OF MINNESOTA City: MINNEAPOLIS Country: UNITED STATES (US)	Department Type: NONE Organization Type: SCHOOLS OF NURSING	State Code: MN Congressional District: 05
Other Information FOA: PA-14-033 Study Section: Psychosocial Risk and Disease Prevention Study Section[PRDP] Fiscal Year: 2020 Award Notice Date: 06-March-2020	Administering Institutes or Centers: NATIONAL HEART, LUNG, AND BLOOD INSTITUTE CFDA Code: 837 DUNS Number: 555917996 UEI: KABJZBBJ4B54	Project Start Date: 01-March-2016 Project End Date: 31-August-2022 Budget Start Date: 01-March-2020 Budget End Date: 31-August-2022

Matchmaker Search Result Example

What to Discuss with Program Officers?

- Your ideas—provide a Specific Aims page.
- Fit—is your idea a good fit for Institute or Center (IC)?
- Priority—is your idea a research priority for IC?
- Funding mechanisms the IC currently supports.
- Specific Funding Opportunity Announcements (FOAs).
- After you're scored: Prospects for funding, next steps.

**Don't be intimidated. It's their job to help you,
and most *really enjoy it!***

- Start planning early
- Apply for the right opportunity
- Contact appropriate program staff early
- **Present ideas clearly and directly**

Getting your **SPECIFIC AIMS** right (*the page and the Aims themselves*) is **CRITICAL** to a successful proposal.

- Start writing *many months* before submission.
- Workshop this page with your study team.
- Get input from mentors and other colleagues.
- Get input from Program Officials at potential Institutes/Centers.
- Edit **EXTENSIVELY** (think # of revisions in double digits). *

* Hemingway revised the ending to *A Farewell to Arms* 47 times!

More Attributes of an Outstanding R01 Application

- Strong Significance; important problem in public health: IMPACT is high.
- High degree of novelty and innovation.
- Strong track record of a well-qualified applicant, with team to shore up individual weaknesses; compelling publications.
- Relevant, supportive preliminary data to ensure Aims can be done.
- Clear rationale.
- Focused approach that provides unambiguous results.
- **Careful attention to details:**
 - Spelling, punctuation, grammar, fonts, tables, figures, budgets. (Get professional help if these aren't your strengths.)

Some General Tips for Success

- Read every word of the FOA multiple times, especially the Review Criteria!
- Refer to the *Application Form Instructions* often.
- <https://grants.nih.gov/grants/how-to-apply-application-guide.html>. Your one-stop shop.
- **Be realistic ... not overly ambitious. NIH wants high feasibility.**
- Be direct and put yourself in the **Reviewer's seat**:
 - Tell them what they want to hear (Review Criteria in FOA).
 - Reviewers can't read your mind.
 - Reviewers won't read between the lines.
 - Don't assume they know what you intend.

In the “Reviewer’s Seat”

Typical Study Section:

- 20+ Reviewers
- 50 to 120 Applications
- 1 ½ days (about 15 minutes of discussion per application in upper half of scores)

How Organized:

- Usually 3 assigned primary reviewers for your application, picked by SRO
- All other panel members hear discussion and engage
- Every panel member gets a vote and may only read your Specific Aims, if that

Will the proposed study have a strong and sustained impact on the scientific field? Two questions drive a Reviewer’s decision:

Should they do it?
Can they do it?

NIH Application Impact Score

Degree of Impact	Impact Score	Descriptor	Additional Guidance on Strengths/Weaknesses
High	1	Exceptional	Exceptionally strong with essentially no weaknesses
	2	Outstanding	Extremely strong with negligible weaknesses
	3	Excellent	Very strong with only some minor weaknesses
Moderate	4	Very Good	Strong but with numerous minor weaknesses
	5	Good	Strong but with at least one moderate weakness
	6	Satisfactory	Some strengths but also some moderate weaknesses
Low	7	Fair	Some strengths but with at least one major weakness
	8	Marginal	A few strengths and a few major weaknesses
	9	Poor	Very few strengths and numerous major weaknesses

Your Application's Impact Score Reflects ...

The likelihood of the project to exert a sustained, powerful influence on the research field(s) involved:

- in consideration of the 5 core review criteria (Significance, Innovation, Approach, Investigator, Environment);

and

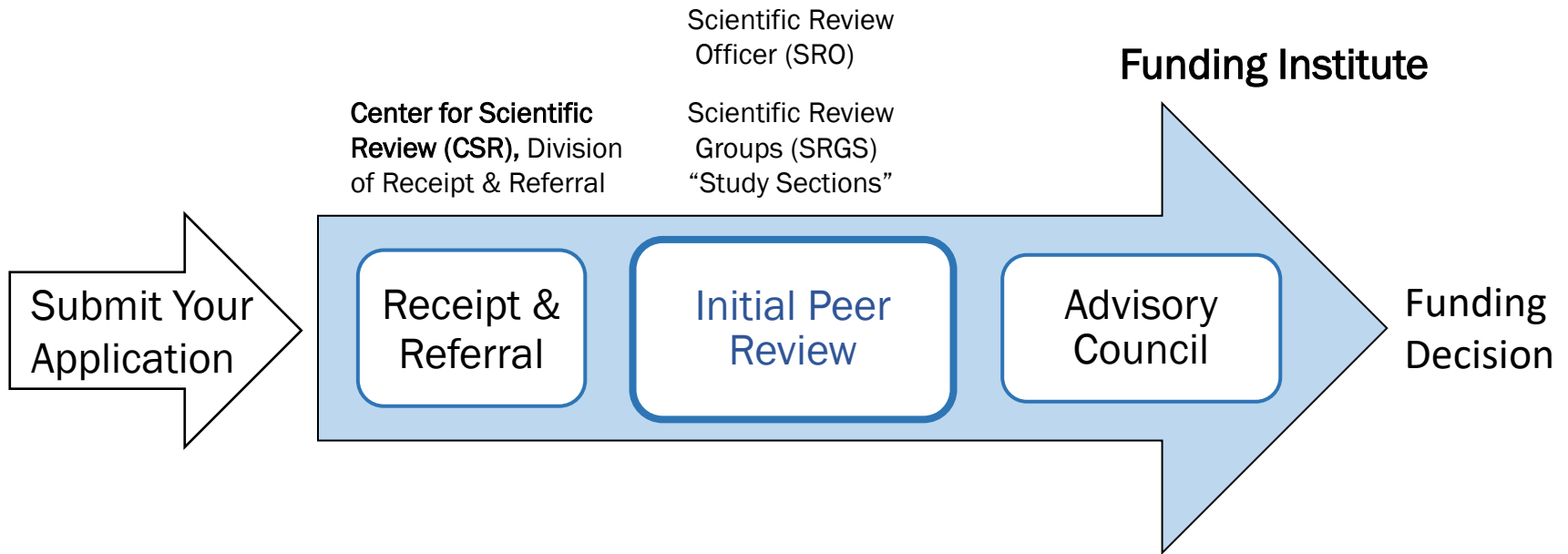
- additional Review Criteria (as applicable for the project proposed). READ the FOA.

Address on your Specific Aims page!

- Start planning early
- Apply for the right opportunity
- Contact appropriate program staff early
- Present ideas clearly and directly
 - **(P.S.: To the right people)**

The Peer Review Process: Quick Take

For more: <https://public.csr.nih.gov/ForApplicants>

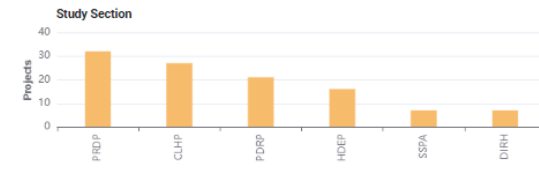
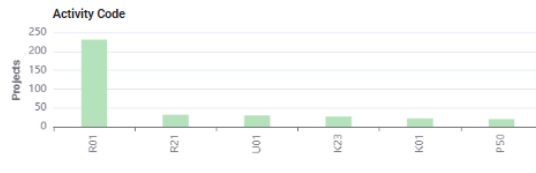
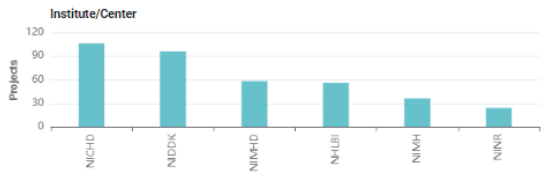


Your preferences are considered, but CSR has the ultimate decision based on the best match.

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	Treating Behavioral Adjustment and Healthy Lifestyle in Preschool Age Children: An Integrated Family...										

New Ulm at HOME - (NU-HOME)

Project Number: 5R01HL123699-05 | Contact PI/Project Leader: FULKERSON, JAYNE ALLYN | Awardee Organization: UNIVERSITY OF MINNESOTA

Details

Contact PI/ Project Leader

Name: FULKERSON, JAYNE ALLYN

Title: PROFESSOR

Contact: [View Email](#)

Other PIs

Not Applicable

Program Official

Name: PRATT, CHARLOTTE A

Contact: [View Email](#)

Organization

Name: UNIVERSITY OF MINNESOTA | Department Type: NONE | State Code: MN

City: MINNEAPOLIS | Organization Type: SCHOOLS OF NURSING | Congressional District: 05

Country: UNITED STATES (US)

Other Information

PA: PA-14-033 | Administering Institutes or Centers: NATIONAL HEART, LUNG, AND BLOOD INSTITUTE

Study Section: Psychosocial Risk and Disease Prevention Study Section[PRDP] | FDA Code: 837

Fiscal Year: 2020 | Award Notice Date: 06-March-2020 | JUNS Number: 555917996 | UEI: KABJZBBJ4B54

Project Start Date: 01-March-2016 | Project End Date: 31-August-2022

Budget Start Date: 01-March-2020 | Budget End Date: 31-August-2022

Matchmaker Search Result Example

Another Way to Find Study Sections: The Assisted Referral Tool (ART)

<https://art.csr.nih.gov/ART/>



Assisted Referral Tool (ART)

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Animal Usage?

Enter application text and hit the Submit button to get a list of relevant study sections in two groups, "Strong" and "Possible". Within a group, study sections are listed alphabetically by the SRG acronym

Title Optional but strongly recommended, as title concepts receive full weight in the models

Enter your application text here. Entering both Abstract and Specific Aims is recommended. Section subheaders and delimiters (e.g. 'Abstract') will be ignored. At least 10 scientific concepts from the RCDC Thesaurus must be detected for ART to submit your job.

Terms will be weighted by frequency of appearance in the text above. The process is automated and confidential. ART does not track or store submitted text.
For more information consult the [User Guide](#).



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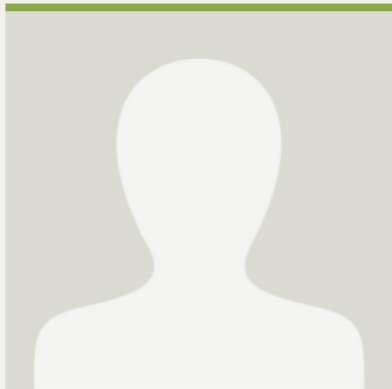
Study Section Example

Community Influences on Health Behavior Study Section – **CIHB**



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Scientific Review Officer

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The Community Influences on Health Behavior (CIHB) Study Section reviews applications concerned with how community-level factors prevent or moderate health risks and behaviors. Community is defined in a variety of ways including geographically, by social unit or practices, virtual spaces, and group affiliations. Research approaches may include community based participatory research, mixed methods (quantitative and qualitative analyses), ethnographic studies, policy and document analysis, and other non-interventional study designs.

The List of Reviewers lists all present, whether standing members or temporary, to provide the full scope of expertise present on that date. Lists are posted 30 days before the meeting and are tentative, pending any last minute changes.

Review Dates

- > [List of Reviewers on 02/15/2022](#)
- > [List of Reviewers on 10/26/2021](#)
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Thank You! Questions?

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